

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PHASE OF  
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents  
Washington, D.C. 20231



00909

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: P 290761 /2990348US/Hm/kp  
M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group:

Date: March 27, 2002

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

1. International Application <u>PCT/FI00/00827</u> ↑ country code	2. International Filing Date <u>27 September 2000</u> Day MONTH Year	3. Earliest Priority Date Claimed <u>29 September 1999</u> Day MONTH Year (use item 2 if no earlier priority)
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4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a) ☐ 20 months from above item 3 date (b) ☒ 30 months from above item 3 date,

(c) Therefore, the due date (unextendable) is March 29, 2002

5. Title of Invention HANDOVER PERFORMED WITHIN A BASE STATION CONTROLLER

6. Inventor(s) KIRLA

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. ☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).

8. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:

- a. ☒ Request;  
b. ☒ Abstract;  
c. 21 pgs. Spec. and Claims;  
d. 7 sheet(s) Drawing which are ☐ informal ☒ formal of size ☒ A4 ☐ 11"

9. ☒ A copy of the International Application has been transmitted by the International Bureau.

10. A translation of the International Application into English (35 U.S.C. 371(c)(2))

- a. ☐ is transmitted herewith including: (1) ☐ Request; (2) ☐ Abstract;  
(3) \_\_\_\_\_ pgs. Spec. and Claims;  
(4) \_\_\_\_\_ sheet(s) Drawing which are:  
☐ informal ☐ formal of size ☐ A4 ☐ 11"
- b. ☒ is not required, as the application was filed in English.
- c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
- d. ☐ Translation verification attached (not required now).

11. ☒ Please see the attached Preliminary Amendment
12. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., **before 18th month from first priority date above in item 3, are transmitted herewith (file only if in English) including:**
13. ☒ PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. ☐ Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments** made before 18th month, **is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).**

15. **A declaration of the inventor** (35 U.S.C. 371(c)(4))
- a. ☒ is submitted herewith ☒ Original ☐ Facsimile/Copy
- b. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.

16. **An International Search Report (ISR):**

- a. Was prepared by ☐ European Patent Office ☐ Japanese Patent Office ☒ Other
- b. ☒ has been transmitted by the international Bureau to PTO.
- c. ☒ copy herewith (2 pg(s).) ☒ plus Annex of family members (1 pg(s).).

**International Preliminary Examination Report (IPER):**

- a. ☒ has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.
- b. ☐ copy herewith in English.
- c.1 ☐ IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:
- c.2 ☐ Specification/claim pages #\_\_\_ claims #  
Dwg Sheets #
- d. ☐ Translation of Annex(es) to IPER **(required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled).**

**Information Disclosure Statement** including:

- a. ☒ Attached Form PTO-1449 listing documents
- b. ☒ Attached copies of documents listed on Form PTO-1449
- c. ☒ A concise explanation of relevance of ISR references is given in the ISR.

19. ☒ **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.

20. ☐ Copy of Power to IA agent.

21. ☐ **Drawings** (complete only if 8d or 10a(4) not completed): \_\_ sheet(s) per set: ☐ 1 set informal; ☐ Formal of size ☐ A4 ☐ 11"

22. Small Entity Status ☒ is **Not** claimed ☐ is claimed (pre-filing confirmation required)

- 22(a) \_\_\_ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)

23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) FINLAND of:

	Application No.	Filing Date		Application No.	Filing Date
(1)	19992090	September 29, 1999	(2)	_____	_____
(3)	_____	_____	(4)	_____	_____
(5)	_____	_____	(6)	_____	_____

- a. ☒ See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.
- b. ☐ Copy of Form PCT/IB/304 attached.

RE: USA National Phase Filing of PCT/FI00/00827

JC13 Rec'd PCT/PTO 27 MAR 2002

24. Attached:

25 Per Item 17.c2, **cancel original** pages #\_\_, claims #\_\_, Drawing Sheets #26. **Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☐ 17, ☐ 25 (hilite)

Total Effective Claims	13	minus 20 =	0	x \$18/\$9	=	\$0	966/967
Independent Claims	3	minus 3 =	0	x \$84/\$42	=	\$0	964/965
If any proper (ignore improper) Multiple Dependent claim is present,				add\$280/\$140	+	0	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ **BASIC FEE REQUIRED, NOW** →→→→A. If country code letters in item 1 are **not** "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN", "ZA", "LC" or "PH"

See item 16 re:

1. Search Report was <u>not</u> prepared by EPO or JPO -----	add\$1,040/\$520	960/961
2. Search Report was prepared by EPO or JPO -----	add\$890/\$445	970/971
	<u>+1040</u>	

**SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN", "ZA", "LC" or "PH"**

→ <input type="checkbox"/> B. If USPTO did not issue <u>both</u> International Search Report (ISR) and (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add\$1,040/\$520	+0	960/961
→ <input type="checkbox"/> C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), -----	add\$740/\$370	+0	958/959
→ <input type="checkbox"/> D. If USPTO issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, -----	add\$710/\$355	+0	956/957
→ <input type="checkbox"/> E. If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) <u>satisfied</u> (i.e., in IPER Sec. V <u>all</u> 3 boxes <u>must</u> be YES for <u>all</u> claims), --	add \$100/\$50	+0	962/963

27.	<b>SUBTOTAL =</b>	<u>\$1040</u>	
28.	If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40	+40	(581)
29.	If box 15a is x'd, determine whether inventorship on Declaration is different than in international stage. If yes, add (per Rule 497(d) ----\$130	+0	(098)
30.	Attached is a check to cover the -----	<b>TOTAL FEES</b>	<u>\$1080</u>

Our Deposit Account No. 03-3975

Our Order No.	60258	290761
	C#	M#



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**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

By Atty: Christine H. McCarthyReg. No. 41844Sig: [Signature]

Fax: (703) 905-2500  
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Atty/Sec: CHM/JRH

NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Stage Application of PCT/FI00/00827

KIRLA

Group Art Unit: Not Yet Assigned

Appln. No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: March 27, 2002

FOR: HANDOVER PERFORMED WITHIN A BASE STATION CONTROLLER

\* \* \* \* \*

March 27, 2002

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents  
Washington, DC 20231

Sir:

Before beginning examination, please amend the above-identified application as follows:

IN THE SPECIFICATION:

On the first page, just after the title, please insert the following paragraph:

--This is a National Stage application of International Application No.

PCT/FI00/00827, which was filed on September 27, 2000, which designated the U.S., and was filed in the English language.--

IN THE CLAIMS:

Please amend claims 1-13 as follows.

1. (Amended) A method for performing a handover where a telecommunications channel used by a connection between a mobile station and a mobile services switching centre is changed in a mobile communications system where the telecommunications channel consists of a radio channel connecting the mobile station and a base station and of a channel

connecting the base station and the mobile services switching centre, wherein the method comprises

selecting a new radio channel for the connection in question;

checking whether the handover is an internal handover of the base station controller where the base station employing the new radio channel and the base station employing the old radio channel are controlled by one and the same base station controller;

checking whether a predetermined trigger condition is met, said condition being met if either the speech coding method or the data transfer rate changes, or if they both change at the same time, in connection with the handover; and

directing a switching function located in the mobile services switching centre to perform the handover, provided that the checks show that the handover is an internal handover of the base station controller where the trigger condition is met.

2. (Amended) A method according to claim 1, wherein the switching function of the base station controller is directed to perform the handover in question, provided that the checks show that the handover is an internal handover of the base station controller where the trigger condition is not met.

3. (Amended) A method according to claim 1, wherein the process where the switching function of the mobile services switching centre or the base station controller is directed to perform the handover comprises the following:

a first step where the switching function starts to branch telecommunications signals sent by the mobile services switching centre in the downlink direction to the mobile station such that the signals in question are supplied from the switching function further to both the

base station employing the old radio channel and to the base station employing the new radio channel;

a second step where the switching function interrupts the supply of telecommunications signals transmitted from the base station employing the old radio channel in the uplink direction and starts the to supply in the uplink direction telecommunications signals received from the mobile station by the base station employing the new radio channel; and

a third step where the switching function interrupts the supply of telecommunications signals, sent to the mobile station by the mobile services switching centre, in the downlink direction to the base station employing the old radio channel.

4. (Amended) A method according to claim 3, wherein the first step is entered when a radio channel has been activated for the connection in question at the base station employing the new radio channel, the second step is entered when the base station employing the new radio channel detects that the mobile station has tuned to the new radio channel; and the third step is entered when the mobile station confirms that it has started to use the new radio channel.

5. (Amended) A method according to claim 1, wherein the process for directing the switching function of the mobile services switching centre to perform the handover comprises the following:

a first step where the switching function starts to branch telecommunications signals to be sent in the downlink direction to the mobile station such that the signals are supplied from the switching function further to both the base station that employs the old radio channel and the base station employing the new radio channel, and to sum the

telecommunications signals transmitted in the uplink direction by the base station employing the old radio channel and the base station employing the new radio channel, and to forward the summed signals; and

a second step where the switching function interrupts the supply of signals in both the uplink and downlink directions between the mobile services switching centre and the base station employing the old radio channel.

6. (Amended) A mobile communications system comprising

- a mobile services switching centre;
- a mobile station having a connection to the mobile services switching centre over a first telecommunications channel, and
- control means for directing a handover to replace the first telecommunications channel used for the connection between the mobile station and the mobile services switching centre with a second telecommunications channel,

wherein the system further comprises

- comparison means comparing the speech coding method and the data transfer rate used on the first telecommunications channel with one or more speech coding methods and data transfer rates available on the second telecommunications channel in order to find out whether a predetermined trigger condition is met, said condition being met if the speech coding method used on the first telecommunications channel is not available on the second telecommunications channel and/or if the data transfer rate of the second telecommunications channel is different from the data transfer rate of the first telecommunications channel;
- checking means checking whether the handover is an internal handover of a base station controller where the base station transmitting the first telecommunications channel is controlled by the same base station controller as the base station transmitting the second

telecommunications channel; and that

the control means direct the switching function of the mobile service switching centre to perform the handover, provided that the comparison means and the checking means show that the handover is an internal handover of the base station controller where the trigger condition is met.

7. (Amended) A mobile communications system according to claim 6, wherein the control means direct the switching function of the base station controller to perform the handover, provided that the comparison means and the checking means show that the handover is an internal handover of the base station controller where the trigger condition is not met.

8. (Amended) A mobile communication system according to claim 6, wherein the switching functions of the base station controller and the mobile services switching centre can be directed at least to

a first state where the switching function branches telecommunications signals to be sent to the mobile station in the downlink direction to the base station transmitting the first telecommunications channel and the base station transmitting the second telecommunications channel and where the switching function supplies only signals received from the mobile station by the base station transmitting the first telecommunications channel in the uplink direction to the mobile services switching centre;

a second state where the switching function branches telecommunications signals to be sent to the mobile station in the downlink direction to the base station transmitting the first telecommunications channel and the base station transmitting the second telecommunications channel and where the switching function supplies only signals received from the mobile



station by the base station transmitting the second telecommunications channel in the uplink direction to the mobile services switching centre; and

a third state where the switching function supplies telecommunications signals to be sent to the mobile station in the downlink direction only to the base station transmitting the second telecommunications channel and where the switching function supplies only signals received from the mobile station by the base station transmitting the second telecommunications channel in the uplink direction to the mobile services switching centre; and

that the control means direct the switching function to perform the handover in such a way that the switching function goes through the three states.

9. (Amended) A mobile communications system according to claim 6, wherein the switching function of the mobile services switching centre can be directed at least to

a first state where the switching function branches telecommunications signals to be sent to the mobile station in the downlink direction so that the signals are supplied from the switching function further to both the base station employing the first telecommunications channel and the base station employing the second telecommunications channel, and where the switching function sums in the uplink direction the signals received from the mobile station by the base station transmitting the first telecommunications channel and the signals received from the mobile station by the base station transmitting the second telecommunications channel and forwards the summed signals; and

a second state where the switching function supplies telecommunications signals to be sent to the mobile station in the downlink direction only to the base station transmitting the second telecommunications channel and where the switching function supplies only signals

received from the mobile station by the base station transmitting the second telecommunications channel further in the uplink direction.

10. (Amended) A mobile communications system according to claim 6, wherein said base station transmitting the first telecommunications channel and said base station transmitting the second telecommunications channel are one and the same base station.

11. (Amended) A mobile communications system according to claim 6, wherein said base station transmitting the first telecommunications channel and said base station transmitting the second telecommunications channel are different base stations.

12. (Amended) A mobile communications system according to claim 6, wherein the mobile communication system is a digital mobile communication system in which the mobile station and the mobile services switching centre employ different speech coding methods and that the first and the second telecommunications channels are provided with speech processing units which perform the coding and decoding operations needed for supplying speech signals between the mobile station and the mobile services switching centre.

13. (Amended) A base station controller comprising  
control means for directing a handover to replace a first telecommunications channel used for a connection between a mobile station and a mobile services switching centre with a second telecommunications channel, wherein the base station controller further comprises

comparison means for comparing the speech coding method and the data transfer rate used by the mobile station on the first telecommunications channel with one or more speech coding methods available on the second telecommunications channel and with the data

transfer rate available on the second telecommunications channel in order to find out whether a predetermined trigger condition is met, said condition being met if the speech coding method used on the first telecommunications channel is not available on the second telecommunications channel and/or if the data transfer rate of the second telecommunications channel is different from that used on the first telecommunications channel;

checking means checking whether the handover in question is an internal handover of a base station controller where the base station transmitting the first telecommunications channel and the base station transmitting the second telecommunications channel are controlled by the base station controller in question; and that

the control means direct the switching function of the mobile services switching centre to perform the handover, provided that the comparison means and the checking means show that the handover is an internal handover of the base station controller and that the trigger condition is met.

Please see the Appendix for changes made to the claims.

REMARKS

Claims 1-13 are pending in this National Stage application. By this Amendment, these claims are amended to further conform to U.S. practice, *e.g.*, to remove reference numerals and multiple dependencies. No new material is added to the claims.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached Appendix is captioned **"VERSION WITH MARKINGS TO SHOW CHANGES MADE"**.

Respectfully submitted,

PILLSBURY WINTHROP LLP

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Enclosure: Appendix

**APPENDIX  
VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE SPECIFICATION:**

The priority claim is recited.

**IN THE CLAIMS:**

1. (Amended) A method for performing a handover where a telecommunications channel used by a connection between a mobile station and a mobile services switching centre is changed in a mobile communications system where the telecommunications channel consists of a radio channel connecting the mobile station and a base station and of a channel connecting the base station and the mobile services switching centre, [**c h a r a c t e r i z e d** in that] wherein the method comprises[ the steps of]

selecting a new radio channel for the connection in question;

checking whether the handover is an internal handover of the base station controller where the base station employing the new radio channel and the base station employing the old radio channel are controlled by one and the same base station controller;

checking whether a predetermined trigger condition is met, said condition being met if either the speech coding method or the data transfer rate changes, or if they both change at the same time, in connection with the handover; and

directing a switching function located in the mobile services switching centre to perform the handover, provided that the checks show that the handover is an internal handover of the base station controller where the trigger condition is met.

2. (Amended) A method according to claim 1, [**c h a r a c t e r i z e d** in that] wherein the switching function of the base station controller is directed to perform the handover in question, provided that the checks show that the handover is an internal handover of the base station controller where the trigger condition is not met.

3. (Amended) A method according to claim 1[ or 2], [**characterized** in that] wherein the process where the switching function of the mobile services switching centre or the base station controller is directed to perform the handover comprises the following:

a first step where the switching function starts to branch telecommunications signals sent by the mobile services switching centre in the downlink direction to the mobile station such that the signals in question are supplied from the switching function further to both the base station employing the old radio channel and to the base station employing the new radio channel;

a second step where the switching function interrupts the supply of telecommunications signals transmitted from the base station employing the old radio channel in the uplink direction and starts the to supply in the uplink direction telecommunications signals received from the mobile station by the base station employing the new radio channel; and

a third step where the switching function interrupts the supply of telecommunications signals, sent to the mobile station by the mobile services switching centre, in the downlink direction to the base station employing the old radio channel.

4. (Amended) A method according to claim 3, [**characterized** in that] wherein the first step is entered when a radio channel has been activated for the connection in question at the base station employing the new radio channel, the second step is entered when the base station employing the new radio channel detects that the mobile station has tuned to the new radio channel; and the third step is entered when the mobile station confirms that it has started to use the new radio channel.

5. (Amended) A method according to claim 1, [**characterized** in that] wherein the process for directing the switching function of the mobile services switching centre to perform the handover comprises the following:

a first step where the switching function starts to branch telecommunications signals to be sent in the downlink direction to the mobile station such that the signals are supplied from the switching function further to both the base station that employs the old radio channel and the base station employing the new radio channel, and to sum the telecommunications signals transmitted in the uplink direction by the base station employing the old radio channel and the base station employing the new radio channel, and to forward the summed signals; and

a second step where the switching function interrupts the supply of signals in both the uplink and downlink directions between the mobile services switching centre and the base station employing the old radio channel.

7. (Amended) A mobile communications system comprising

a mobile services switching centre [(MSC)];

a mobile station [(MS)] having a connection to the mobile services switching centre [(MSC)] over a first telecommunications channel [(CH1)], and

control means [(4)] for directing a handover to replace the first telecommunications channel [(CH1)] used for the connection between the mobile station [(MS)] and the mobile services switching centre [(MSC)] with a second telecommunications channel [(CH2)],

[**characterized** in that] wherein the system further comprises

comparison means [(3)] comparing the speech coding method and the data transfer rate used on the first telecommunications channel [(CH1)] with one or more speech coding

methods and data transfer rates available on the second telecommunications channel [(CH2)] in order to find out whether a predetermined trigger condition is met, said condition being met if the speech coding method used on the first telecommunications channel [(CH1)] is not available on the second telecommunications channel [(CH2)] and/or if the data transfer rate of the second telecommunications channel [(CH2)] is different from the data transfer rate of the first telecommunications channel [(CH1)];

checking means [(2)] checking whether the handover is an internal handover of a base station controller [(BSC)] where the base station [(BTS1)] transmitting the first telecommunications channel [(CH1)] is controlled by the same base station controller [(BSC)] as the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)]; and that

the control means [(4)] direct the switching function [(S1)] of the mobile service switching centre [(MSC)] to perform the handover, provided that the comparison means [(3)] and the checking means [(4)] show that the handover is an internal handover of the base station controller [(BSC)] where the trigger condition is met.

7. (Amended) A mobile communications system according to claim 6, **[characterized in that]** wherein the control means [(4)] direct the switching function [(S2)] of the base station controller [(BSC)] to perform the handover, provided that the comparison means [(3)] and the checking means [(4)] show that the handover is an internal handover of the base station controller [(BSC)] where the trigger condition is not met.

8. (Amended) A mobile communication system according to claim 6[ or 7], **[characterized in that]** wherein the switching functions [(S1, S2)] of the base station controller [(BSC)] and the mobile services switching centre can be directed at least to



a first state where the switching function [(S1, S2)] branches telecommunications signals to be sent to the mobile station [(MS)] in the downlink direction to the base station [(BTS1)] transmitting the first telecommunications channel [(CH1)] and the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)] and where the switching function [(S1, S2)] supplies only signals received from the mobile station [(MS)] by the base station [(BTS1)] transmitting the first telecommunications channel [(CH1)] in the uplink direction to the mobile services switching centre [(MSC)];

a second state where the switching function [(S1, S2)] branches telecommunications signals to be sent to the mobile station [(MS)] in the downlink direction to the base station [(BTS1)] transmitting the first telecommunications channel [(CH1)] and the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)] and where the switching function [(S1, S2)] supplies only signals received from the mobile station [(MS)] by the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)] in the uplink direction to the mobile services switching centre [(MSC)]; and

a third state where the switching function [(S1, S2)] supplies telecommunications signals to be sent to the mobile station [(MS)] in the [donwlink] downlink direction only to the base station [(BTS2)] transmitting the second telecommunications channel (CH2) and where the switching function [(S1, S2)] supplies only signals received from the mobile station [(MS)] by the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)] in the uplink direction to the mobile services switching centre; and

that the control means [(4)] direct the switching function [(S1, S2)] to perform the handover in such a way that the switching function [(S1, S2)] goes through the three states.

9. (Amended) A mobile communications system according to claim 6,

**[c h a r a c t e r i z e d** in that] wherein

the switching function [(S1)] of the mobile services switching centre [(MSC)] can be directed at least to

a first state where the switching function [(S1)] branches telecommunications signals to be sent to the mobile station [(MS)] in the downlink direction so that the signals are supplied from the switching function further to both the base station [(BTS1)] employing the first telecommunications channel [(CH1)] and the base station [(BTS2)] employing the second telecommunications channel [(CH2)], and where the switching function [(S1)] sums in the uplink direction the signals received from the mobile station [(MS)] by the base station [(BTS1)] transmitting the first telecommunications channel [(CH1)] and the signals received from the mobile station [(MS)] by the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)] and forwards the summed signals; and

a second state where the switching function [(S1)] supplies telecommunications signals to be sent to the mobile station [(MS)] in the downlink direction only to the base station [(BTS2)] transmitting the second telecommunications channel and where the switching function [(S1)] supplies only signals received from the mobile station by the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)] further in the uplink direction.

10. (Amended) A mobile communications system according to [any one of claims 6 to 9, **characterized** in that] claim 6, wherein said base station transmitting the first telecommunications channel [(CH1)] and said base station transmitting the second telecommunications channel [(CH2)] are one and the same base station.

11. (Amended) A mobile communications system according to [any one of claims 6 to 9, **characterized** in that] claim 6, wherein said base station transmitting the first

telecommunications channel [(CH1)] and said base station transmitting the second telecommunications channel [(CH2)] are different base stations [(BTS1, BTS2)].

12. (Amended) A mobile communications system according to [any one of claims 6 to 11, **characterized** in that] claim 6, wherein the mobile communication system is a digital mobile communication system in which the mobile station [(MS)] and the mobile services switching centre [(MSC)] employ different speech coding methods and that the first [(CH1)] and the second [(CH2)] telecommunications channels are provided with speech processing units [(TRAU1, TRAU2)] which perform the coding and decoding operations needed for supplying speech signals between the mobile station [(MS)] and the mobile services switching centre [(MSC)].

13. (Amended) A base station controller comprising control means [(4)] for directing a handover to replace a first telecommunications channel [(CH1)] used for a connection between a mobile station [(MS)] and a mobile services switching centre [(MSC)] with a second telecommunications channel [(CH2)],

**characterized** in that] wherein the base station controller further comprises

comparison means [(3)] for comparing the speech coding method and the data transfer rate used by the mobile station [(MS)] on the first telecommunications channel [(CH1)] with one or more speech coding methods available on the second telecommunications channel [(CH2)] and with the data transfer rate available on the second telecommunications channel [(CH2)] in order to find out whether a predetermined trigger condition is met, said condition being met if the speech coding method used on the first telecommunications channel [(CH1)] is not available on the second telecommunications channel [(CH2)] and/or if the data transfer rate of the second telecommunications channel [(CH2)] is different from that used on the first

telecommunications channel[(CH1)];

checking means [(2)] checking whether the handover in question is an internal handover of a base station controller [(BSC)] where the base station [(BTS1)] transmitting the first telecommunications channel [(CH1)] and the base station [(BTS2)] transmitting the second telecommunications channel [(CH2)] are controlled by the base station controller in question; and that

the control means [(4)] direct the switching function [(S1)] of the mobile services switching centre [(MSC)] to perform the handover, provided that the comparison means [(3)] and the checking means [(2)] show that the handover is an internal handover of the base station controller [(BSC)] and that the trigger condition is met.

7/pvt

**HANDOVER PERFORMED WITHIN A BASE STATION CONTROLLER**

**[0001]** The invention relates to a handover performed in a mobile communication system where a connection between a mobile station and a mobile services switching centre is transferred from a first channel to a second channel. The invention relates particularly to the interference a handover performed within a base station controller causes to an ongoing connection.

**[0002]** A BSC-internal (Base Station Controller) handover takes place between base stations controlled by one and the same base station controller; a radio channel is allocated to a mobile station from another base station controlled by the same base station controller as the base station which provided the radio channel the mobile station had been using so far. Alternatively, the handover may take place within a base station in which case the mobile station is allocated a new radio channel from the same base station which provided the mobile station's previous radio channel. In this context the term 'radio channel' refers generally to a channel used on the radio path, such as a frequency channel, in a Frequency Division Multiple Access Radio System (FDMA) is concerned or a specific time slot on a frequency channel, when a Frequency Division Multiple Access and Time Division Multiple Access (FDMA/TDMA) radio system is concerned.

**[0003]** Prior art knows a mobile communication system, shown in Figures 1a and 1b, where BSC-internal handover processes are carried out by a switching function S2 located in the base station controller BSC. The switching function S2 can in this case operate similarly as a simple double-throw switch which allows a bidirectional data flow (i.e. the uplink and downlink data flows of a mobile station MS and a mobile services switching centre MSC) to be transferred simultaneously at a predetermined moment from the original telecommunication channel CH1 to a target channel CH2. If the telecommunication channels in question are served by two separate base stations, then the base station transmitting the connection, such as a call, changes during the handover process. Figure 1a illustrates a situation before the handover and Figure 1b after the handover. Figures 1a and 1b show that in the prior art solution the telecommunications channel changes only on the connection between the mobile station MS and the base station controller BSC.

**[0004]** One of the drawbacks of the above described prior art solution is that if there is a long transmission delay between the switching functions of the mobile station and the base station controller, or if the synchronization of the mobile station to a new channel is delayed, due to interference on the radio interface for example, then the handover may cause a break. In the downlink direction in particular, such a break may be inconveniently long.

**[0005]** Another weakness of the above described prior art solution is that if the speech coding method or the data transfer rate changes during the handover, this may cause interfering noises audible to the user of the mobile station. The speech coding method may need to be changed because new speech coding methods have been (or are being) introduced into many digital mobile communication systems. A mobile communication system may therefore employ various speech coding methods simultaneously and therefore situations arise in the system which require the speech coding method to be changed in connection with a handover. The GSM system (Global System for Mobile communications) is an example of such a system. In the GSM, a speech processing unit TRAU (Transcoder Rate Adaptor Unit) immediately changes the speech coding method when it receives a first new uplink speech frame and only then starts to apply the new speech coding method to downlink encoding. If the transmission delay is long, it is possible that downlink data coded with the previous speech coding method still arrives for some time after the handover, before the uplink data coded with the new method reaches the speech processing unit. This causes disturbing noises to the mobile station. To avoid such disturbing noises, the downlink connection should be delayed by a period of time which is twice the transmission delay. A delay of such a length would, however, cause a fairly distinct audio break in the downlink.

**[0006]** A break similar to the one described above may also appear in situations where the data transfer rate changes in connection with the handover, although the speech coding method remains the same. In the GSM system, for example, a speech coding method known as an AMR (Adaptive Multi Rate) method is about to be introduced, the method allowing the data transfer rate between the mobile station and the base station controller to be selected among eight alternative rates. This will allow the speech coding method of the ongoing connection to remain unchanged (in other words, both

the new and the old data transfer channel apply the AMR method), but the data transfer rate of the connection will, nevertheless, change in connection with the handover.

**[0007]** It is an object of the present invention to solve the above problems and to provide a solution which allows a BSC-internal handover to be carried out so that it interferes with the ongoing connection as little as possible. The invention aims particularly at providing a solution which allows the interference to be minimized in a BSC-internal handover where the speech coding method or the data transfer rate changes. These objects are achieved with a method of the invention for performing a handover where a telecommunications channel used by a connection between a mobile station and a mobile services switching centre is changed in a mobile communication system where the telecommunications channel comprises a radio channel connecting the mobile station and a base station and a channel connecting the base station and the mobile services switching centre. The method of the invention is characterized in that the method comprises the steps of selecting a new radio channel for the connection in question; checking whether the handover is a BSC-internal handover where the base station employing the new radio channel and the base station employing the old radio channel are controlled by one and the same base station controller; checking whether a predetermined trigger condition is met, which happens if either the speech coding method or the data transfer rate changes, or if they both change at the same time, in connection with the handover; and directing a switching function located in the mobile services switching centre to perform the handover, provided that the checks show that the handover is a BSC-internal handover where the trigger condition is met.

**[0008]** The invention further relates to a mobile communication system where the method of the invention can be applied. The mobile communication system of the invention comprises: a mobile services switching centre, a mobile station communicating with the mobile services switching centre over a first telecommunications channel; and control means for directing the handover with the view of replacing the first telecommunications channel used for a connection between the mobile station and the mobile services switching centre by a second telecommunications channel. The system of the invention is further characterized in that the system further comprises: comparison means comparing the speech coding method and the

data transfer rate used by the first telecommunications channel with one or more speech coding methods and data transfer rates available to the second telecommunications channel in order to find out whether a predetermined trigger condition is met, which happens if the speech coding method used by first telecommunications channel is not available to the second telecommunications channel and/or if the data transfer rate of the second telecommunications channel is different from the data transfer rate of the first telecommunications channel; checking means checking whether the handover is an internal handover of the base station controller where the base station transmitting the first telecommunications channel is controlled by the same base station controller as the base station transmitting the second telecommunications channel; and that the control means direct the switching function of the mobile services switching centre to perform the handover, provided that the comparison means and the checking means show that the handover is an internal handover of the base station controller where the trigger condition is met.

**[0009]** The invention still further relates to a base station controller that can be used in the system of the invention. The base station controller of the invention comprises: control means for directing a handover with the view of replacing a first telecommunications channel used for a connection between a mobile station and a mobile services switching centre by a second telecommunications channel. The base station controller of the invention is characterized in that the base station controller further comprises: comparison means for comparing the speech coding method and the data transfer rate used by the mobile station on the first telecommunications channel with one or more speech coding methods available to the second telecommunications channel and with the data transfer rate available to the second telecommunications channel in order to find out whether a predetermined trigger condition is met, which happens if the speech coding method used by the first telecommunications channel is not available to the second telecommunications channel, and/or if the data transfer rate of the second telecommunications channel is different from that used by the first telecommunications channel; checking means checking whether the handover is an internal handover of the base station controller where the base station transmitting the first telecommunications channel and the base station transmitting the second telecommunications channel are controlled by the



base station controller in question; and that the control means direct the switching function of the mobile services switching centre to perform the handover, provided that the comparison means and the checking means show that the handover takes place within the base station controller and that the trigger condition is met.

**[0010]** The invention is based on the idea that when the switching function located in the mobile services switching centre is used for carrying out a BSC-internal handover where the trigger condition is met (i.e. either the speech coding method or the data transfer rate changes, or they both change at the same time, in connection with the handover), the interference caused by the handover to the ongoing connection can be minimized. The handover is then performed as if it took place between two different base station controllers, which allows interference caused to downlink signals in particular to be minimized. In the invention, this kind of handover is achieved by applying a signalling procedure which corresponds to signalling taking place in connection with a handover between two base station controllers, although physically the signalling procedure is carried out under the control of only one base station controller and between the mobile services switching centre and the base station controller in question. Hence, the most significant advantage of the invention is that it allows a BSC-internal handover which causes less interference to the ongoing connection to be carried out also in situations where the speech coding method or the data transfer rate changes in connection with the handover.

**[0011]** BSC-internal handovers may be handover processes where the traffic channel between two base stations is changed, or, alternatively, handovers where a new channel is allocated to the connection at the same base station which provided the previous channel of the connection. The solution of the invention can be applied in both cases.

**[0012]** The preferred embodiments of the method and mobile communication system of the invention are disclosed in the accompanying dependent claims 2-5 and 7-12.

**[0013]** In the following the invention will be described in greater detail and with reference to the accompanying drawings, in which

**[0014]** Figures 1a - 1b illustrate a prior art mobile communication system;

**[0015]** Figures 2a - 2c are block diagrams illustrating a first preferred embodiment of the mobile communication system of the invention;

**[0016]** Figures 3a - 3c illustrate a branching/summing function;

**[0017]** Figures 4a - 4d illustrate a branching/switching function; and

**[0018]** Figures 5 and 6 illustrate a first preferred embodiment of the invention.

**[0019]** Figures 2a - 2c are block diagrams illustrating the first preferred embodiment of the mobile communication system of the invention. The mobile communication system shown in Figures 2a - 2c may be for example the GSM system.

**[0020]** Figure 2a shows an initial situation where a mobile station MS has a connection to a mobile services switching centre MSC over a telecommunication channel CH1 and further to a subscriber terminal 1 of the fixed telephone network PSTN, for example, through the mobile services switching centre MSC. The telecommunications channel CH1 of Figure 2a, which is indicated with a thick continuous line, is composed of a radio channel between a base station BTS1 and the mobile station MS and a channel between the base station BTS1 and the mobile services switching centre MSC. In Figure 2a, the channel between the base station BTS1 and the mobile services switching centre comprises a channel between the base station BTS1 and a base station controller BSC, an Ater interface channel Ater1 between the base station controller BSC and a speech processing unit TRAU1, and an A interface channel A1 between the speech processing unit TRAU1 and the mobile services switching centre MSC.

**[0021]** The frequency spectrum required by a digital mobile communication system, such as the GSM, depends on the transfer rate employed on the radio path. The greater the transfer rate used on the radio path, the broader the frequency spectrum it requires. This is why the speech coding used in the PSTN/ISDN (Public Switched Telephone Network/Integrated Services Digital Network), which transfers digital coded data at a rate of 64 kbit/s per traffic channel, is usually not suitable for use on the radio path. A GSM radio path, for example, therefore employs speech coding methods of low bit rate (Half Rate, Full Rate, Enhanced Full Rate), instead of the fixed network speech coding. In addition to these speech coding methods, a method known as AMR (Adaptive Multi Rate) speech coding

where the data transfer rate can be selected among eight alternative rates is about to be taken in use in the GSM.

**[0022]** In the mobile communication system of Figure 2a, speech processing units TRAU1 and TRAU2 are arranged between the base station controller and the mobile services switching centre to allow the speech coding used on the radio path to be adapted to the speech coding used by the mobile services switching centre. The speech processing units (transcoders) carry out the necessary coding, decoding and rate adjustment functions. In other words, for example in the GSM, the speech processing units may have 64 kbit/s interfaces towards the mobile services switching centre MSC, and 8 kbit/s interfaces in connection with Half Rate speech coding or 16 kbit/s interfaces in connection with Full Rate or Enhanced Full Rate speech coding towards the base station controller.

**[0023]** When the mobile station MS in Figure 2a moves away from the first base station BTS1 during the connection employing the first telecommunications channel, approaching at the same time a second base station BTS2, a need for handover to the second base station BTS2 arises. The base station controller BSC monitors the connection between the first base station BTS1 and the mobile station MS and when it detects that the connection degrades, it triggers the handover. The situation shown in Figure 2a assumes that the handover is carried out by transferring the ongoing connection of the mobile station MS from the radio channel of the first base station BTS1 to the radio channel of the second base station BTS2.

**[0024]** When a radio channel has been selected for the connection at the second base station BTS2, checking means 2 located in the base station controller BSC check if the new base station, i.e. BTS2, is also controlled by the base station controller. In addition, the base station controller BSC knows the speech coding methods and data transfer rates available to the base stations it controls. This allows comparison means 3 located in the base station controller BSC to compare the speech coding method and the data transfer rate used by the first base station BTS1 with the speech coding method and the data transfer rate available to the second base station. A predetermined trigger condition may be stored into the comparison means 3, the condition being met if the speech coding method employed is not available to the second base station, or if the second base station cannot use the same data transfer rate as the first base station. In the invention, a control unit 4

located in the base station controller BSC is informed of the results of the check made by the checking means 2 and the comparison made by the comparison means 3 (i.e. whether the trigger condition is met or not). If the results show that

**[0025]** 1) the handover is a BSC-internal handover in which the trigger condition is not met, the control unit 4 of the base station controller directs the switching function S2 to perform the handover. This means that a new telecommunications channel is allocated to the ongoing connection of the mobile station only for the portion between the base station controller BSC and the mobile station MS (i.e. a new radio channel and a new channel for the connection between the base station controller BSC and the new base station BTS2); in other words, the channel used by the speech processing unit or the mobile services switching centre does not change. Figure 2b shows the situation prevailing after the handover operation has been completed. Comparison of Figures 2a and 2b shows that in spite of the handover, the Ater interface channel and the A interface channel of the connection have remained unchanged.

**[0026]** 2) the handover is a BSC-internal handover in which the trigger condition is met, the control unit 4 of the base station controller directs the switching function S1 of the mobile services switching centre MSC to perform the handover. In this case the signalling takes place similarly as in a handover between two separate base station controllers, with the exception that it is controlled by only one base station controller and it is carried out between the base station controller BSC concerned and the mobile services switching centre MSC. In the situation shown in Figures 2a - 2c this means that a new channel is allocated to the ongoing connection of the mobile station MS also for the portion between the base station controller and the mobile services switching centre. Consequently, the speech processing unit TRAU1, which was used on the first telecommunications channel CH1, is replaced with a second speech processing unit TRAU2 used on the second telecommunications channel CH2. Figure 2c shows the situation prevailing after this handover. The comparison of Figures 2a and 2c shows that the Ater interface channel and the A interface channel have changed during the handover.

**[0027]** Figures 3a - 3c illustrate a branching/summing function, which can be used in a switching function S1 of the mobile services switching

centre shown in Figures 2a - 2c for implementing the handover of the invention.

**[0028]** In the initial state shown in Figure 3a, the branching/summing function transmits both uplink and downlink signals associated with the connection the mobile station has between subscriber B and the first telecommunications channel CH1. This initial state corresponds to the state shown in Figure 2a.

**[0029]** When the mobile station has moved to a location where the base station controller triggers the handover, the branching/summing moves to an intermediate state shown in Figure 3b where it starts to branch a downlink data flow to the first and the second telecommunications channel CH1 and CH2. At the same time the branching/summing function starts to sum the data flow transmitted over the first and the second telecommunications channels CH1 and CH2 in the uplink and to transmit the summed data flow to subscriber B. The intermediate state shown in Figure 3b is usually activated shortly before a Handover Command is sent to the mobile station.

**[0030]** When the handover has been successfully completed (Handover Complete), the branching/summing function moves to a final state, shown in Figure 3c, where it transmits a data flow both uplink and downlink only between the second, i.e. a new telecommunications channel CH2 and subscriber B. The final state corresponds to the situation shown in Figure 2c. The branching/summing function of Figures 3a-3c used in the handover allows the break that commonly occurs in a prior art handover process to be essentially shortened.

**[0031]** The branching/summing function can be used to sum uplink signals by applying signal processing, where a-law companded 8-bit samples are first converted to 13-bit linear samples. The 13-bit linear samples can then be summed together. Finally the summed 13-bit linear samples are again converted to companded 8-bit samples which are then further transmitted towards subscriber B. As regards the downlink, a 64 kbit/s data flow can be branched, i.e. copied as such, without any additional operations, to the first and the second telecommunications channels CH1 and CH2.

**[0032]** Figures 4a-4d illustrate a branching/switching function. The branching/switching function of Figures 4a-4d can be used in the switching function S1 of the mobile services switching centre MSC shown in Figures 2a-2c for performing the handover of the invention. The branching/switching

function shown in Figures 4a-4d is thus an alternative to the branching/switching function of Figures 3a-3c in that the switching function S1 of the mobile services switching centre may utilize either of the two alternatives. The branching/switching function shown in Figures 4a-4d is also applicable to the switching function S2 of the base station controller BSC where it is used as defined in the invention in those BSC internal handover situations where the speech coding method does not change.

**[0033]** The handover shown in Figures 4a-4d takes place in three phases, the switching function having two intermediate states between the initial state and the final state. Figure 4a shows the initial state, i.e. a state where the connection between the mobile station MS and the mobile services switching centres MSC employs the radio channel used by the first base station BTS1. In this case, a compressed speech signal travels between the first base station BTS1 and the base station controller BSC on the channel CH1. When the base station controller BSC decides to perform an internal handover, it sends a Channel Activation message to the second base station BTS2 and the second base station BTS2 acknowledges the message (Channel Activation Acknowledgement), the first step in the handover being thus completed.

**[0034]** In the first step of the handover, the branching/switching function moves from the initial state of Figure 4a to the intermediate state 1 of Figure 4b. The compressed downlink speech signal transmitted from subscriber B is then branched to the second telecommunications channel CH2 as well. In the uplink, subscriber B remains only connected to the first telecommunications channel CH1 by the branching/switching function. The base station controller BSC then sends a Handover Command to the mobile station through the base station BTS1, and the mobile station MS tunes to the channel of the second base station BTS2. When the mobile station MS has successfully tuned to the channel of the second base station, the second base station BTS2 sends information (Handover Detect) about this to the base station controller BSC. This completes the second step in the handover.

**[0035]** In the second step of the handover, the branching/switching function moves from the intermediate state 1 of Figure 4b to the intermediate state 2 of Figure 4c. The branching/switching function uses the double-throw switch function to connect the uplink data flow coming from subscriber B from the channel CH1 to the channel CH2. The branching/switching function

branches the compressed downlink speech signal further to the first channel CH1 and the second channel CH2. After the handover has been successfully completed (Handover Complete), the third step in the handover process will be carried out.

**[0036]** In the third step of the handover, the branching/switching function moves from the intermediate state 2 of Figure 4c to the final state of Figure 4d. In the final state, the uplink signals received from the second channel CH2 are transmitted to subscriber B and, correspondingly, the downlink signals from subscriber B are transmitted to the second channel CH2. If the handover is performed by the switching function S2 of the base station controller, then the final state corresponds to the situation shown in Figure 2b. The handover in question is then a BSC-internal handover where the speech coding method and the telecommunications rate do not change. On the other hand, if the handover is performed by the switching function S1 of the mobile switching centre, then the final state corresponds to the situation shown in Figure 2c. In this case the handover in question is a BSC-internal handover where the speech coding method and/or the data transfer rate change.

**[0037]** The branching of the downlink signals to the channels CH1 and CH2, shown in Figures 4b and 4c, allows handover to be performed with a shorter break in the downlink signals. Because of the branching, the duration of the break depends on nothing else than on how fast and disturbance-free the mobile station is able to change from channel CH1 at the first base station BTS1 to channel CH2 at the second base station BTS2.

**[0038]** Figures 5 and 6 illustrate a first preferred embodiment of the method of the invention. Figures 5 and 6 show an example of how the invention can be applied in the GSM system. The flow diagram refers to the signalling messages and the switching function states associated with the handover. It is to be noted that the switching function states may change at different points of the signalling messages than what is shown in the flow diagram. The signalling messages and the switching functions have been shown from the point of view of the base station controller BSC. For this reason the switching function states of the mobile services switching centre MSC are shown with broken lines. The signalling messages at the radio interface and some of the signalling messages between the MSC-BSC and the BSC-BTS have been left out because they are not essential for the operation

of the invention. The flow diagram shows a successful handover. If the handover fails or if an otherwise abnormal state is entered, the process proceeds exactly as given in the GSM recommendations in this regard.

**[0039]** The initial state (Figure 5) assumes that there is an ongoing connection (such as a call) and that the traffic is routed via the first base station BTS1. The base station controller BSC then receives measurement reports from the first base station BTS1 (step 400) at the pace of a SACCH block on the air interface at intervals of 480 ms. These measurement reports contain both the measurement reports on the quality of the downlink air interface sent by the mobile station MS and those made on the quality of the uplink air interface by the first base station BTS1. The measurement reports contain raw data on the quality of both the current radio channel (CH1) and the neighbouring base stations. The handover algorithm (step 401) located in the base station controller BSC continuously analyzes the raw data and if, due to the air interface quality or for traffic reasons, for example, it is advantageous to perform a handover (step 402), the handover process is initiated and the routine proceeds to step 403. If it is decided that the handover is not going to be carried out, then the routine returns to step 400 and continues to receive and analyse measurement results.

**[0040]** In step 403 it is checked whether the target base station BTS2 and its traffic channel CH2 are controlled by the same base station controller BSC as the current base station BTS1. The base station controller BSC is able to conclude on the basis of the measurement reports sent by the mobile station MS whether the target base station BTS2 is controlled by the base station controller BSC in question.

**[0041]** If the target base station is controlled by another base station controller or mobile services switching centre, then a Handover Required message (step 404) is sent to the mobile services switching centre, the message containing the necessary information about the target base station or, alternatively, a list of the target base stations in their order of preference. In step 405 the mobile services switching centre MSC investigates whether the handover is an MSC-internal handover or one between two mobile services switching centres. On the basis of the results, either a normal MSC-internal handover (step 407) or a handover between two mobile services switching centres (step 406) is carried out. In both cases the switching of the traffic channels takes place at the mobile services switching centre, which



allows either the branching/summing function or the branching/switching function to be applied so as to minimize the downlink switching break, irrespective of whether the speech coding method and the data transfer rate of the target base station BTS2 are the same as the speech coding method and the data transfer rate of the serving base station BTS2.

**[0042]** If it is stated in step 403 that the handover in question takes place within the base station controller BSC, the routine checks in step 408 whether the trigger condition in use is met. According to the invention this is done by checking whether the speech coding method of the target base station BTS2 is the same as that of the current base station BTS1. It also also checked whether the data transfer rate of the connection (between the mobile station and the base station controller) changes if the handover is performed. If the handover were to lead to a situation where the speech coding method or the data transfer rate changes (or where they both change at the same time), this would mean that the trigger condition is met. The above information can be obtained because the base station controller BSC always has information both about the accepted speech coding methods and the available data transfer rates of both the present base stations and the target base stations.

**[0043]** If it is detected that the trigger condition is not met when the base station is changed, then a normal BSC-internal handover is performed, which is shown in steps 409-418. The process starts at step 409 with the activation of the traffic channel to the target base station (channel activation), which is acknowledged by the target base station BTS2 in step 410 (channel activation acknowledgement) when the new traffic channel has been activated both to air and abis interfaces. When the base station controller BSC receives the channel activation acknowledgement, the branching/switching function of the traffic channels of the base station controller BSC is set to the intermediate state 1 (see Figure 4b). The mobile station is then sent a handover command via the serving base station BTS1 and when the mobile station MS receives the command, it tunes to the new channel CH2 of the target base station BTS2. When the target base station BTS2 detects a Handover Access burst from the mobile station, it sends a handover detect message to the base station controller BSC (step 413). At this stage the branching/switching function of the base station controller BSC is set to the intermediate state 2 (step 414) and, as a result, also uplink data is transmitted via the second base station BTS2. After a successful handover, the mobile station sends a

Handover Complete message (step 415) via the second base station BTS2. The branching/switching function can then be set to the final state, because there is no longer any risk of the mobile station returning to the channel of the first base station BTS1 due to failed signalling. Finally, the base station controller BSC sends the first base station BTS1 an RF channel release message in step 417 which the base station acknowledges (RF channel release acknowledgement) after it has released the channel (step 418).

**[0044]** If it is detected in step 408 that the trigger condition will be met if the base station is changed, a BSC-internal handover controlled by the mobile services switching centre MSC is carried out as shown in Figure 6. The base station controller BSC first sends the mobile services switching centre MSC a handover required message in step 500. This message shows for example the channel of the serving base station BTS1, the current speech coding method and a cell identifier of the new base station BTS2 or, alternatively, a cell identifier list giving the new base stations in their order of preference. In the next step 501 the mobile services switching centre sends a handover request to the base station controller BSC, the request comprising information for example about the channel of the serving base station BTS1, the current speech coding method and the cell identifier of the new base station BTS2. In an ordinary handover taking place between two base station controllers BSC this message is sent to the target base station controller, but when a BSC-internal handover is concerned, the message is sent to the current base station controller BSC. In step 502 the traffic channel is activated to the base station BTS2 (channel activation) which is acknowledged by the target base station BTS2 in step 503 (channel activation acknowledgement) when the new traffic channel has been activated both to the air interface and the abis interface. Next the base station controller BSC acknowledges the handover request message to the mobile services switching centre MSC in step 504. This acknowledgement comprises for example the handover command to be transmitted to the mobile station, the channel in the new base station BTS2 and the new speech coding method. In step 505 the switching function is set to the intermediate state (it is assumed in this connection that the branching/summing switching function of Figures 3a-3c in particular is used in connection with the flow diagram of Figure 6).

**[0045]** The mobile services switching centre MSC then sends, in step 506, the handover command to the mobile station MS via the base station

controller BSC and the serving base station BTS1 and, after having received the command, the mobile station MS tunes to the new channel CH2 of the target base station BTS2. As the new base station BTS2 detects a Handover Access burst from the mobile station, the base station BTS2 sends a handover detect message to the mobile services switching centre MSC through the base station controller BSC (step 507).

**[0046]** After a successful handover the mobile station MS sends a Handover Complete message through the new base station BTS2 which the base station controller BSC forwards to the mobile services switching centre MSC (step 509). The switching function is then set to the final state in step 510. Finally, the traffic channel of the original base station BTS1 is released in steps 511-514. First the mobile services switching centre MSC sends a Clear Command message in step 511, after which the base station controller BSC sends, in step 512, an RF channel release message to the original base station BTS1 which the base station acknowledges, after it has released the channel (step 513), with an RF channel release acknowledgement. The process is completed by a Clear Complete message sent to the mobile services switching centre MSC (step 514).

**[0047]** It is to be understood that the above specification and the related drawings are only meant to illustrate the present invention. A person skilled in the art will find it apparent that the invention can be modified and varied in different ways without departing from the scope and spirit of the invention disclosed in the accompanying claims.

## CLAIMS

1. A method for performing a handover where a telecommunications channel used by a connection between a mobile station and a mobile services switching centre is changed in a mobile communications system where the telecommunications channel consists of a radio channel connecting the mobile station and a base station and of a channel connecting the base station and the mobile services switching centre,

**characterized** in that the method comprises the steps of

selecting a new radio channel for the connection in question;

checking whether the handover is an internal handover of the base station controller where the base station employing the new radio channel and the base station employing the old radio channel are controlled by one and the same base station controller;

checking whether a predetermined trigger condition is met, said condition being met if either the speech coding method or the data transfer rate changes, or if they both change at the same time, in connection with the handover; and

directing a switching function located in the mobile services switching centre to perform the handover, provided that the checks show that the handover is an internal handover of the base station controller where the trigger condition is met.

2. A method according to claim 1, **characterized** in that the switching function of the base station controller is directed to perform the handover in question, provided that the checks show that the handover is an internal handover of the base station controller where the trigger condition is not met.

3. A method according to claim 1 or 2, **characterized** in that the process where the switching function of the mobile services switching centre or the base station controller is directed to perform the handover comprises the following:

a first step where the switching function starts to branch telecommunications signals sent by the mobile services switching centre in the downlink direction to the mobile station such that the signals in question are supplied from the switching function further to both the base station employing

the old radio channel and to the base station employing the new radio channel;

a second step where the switching function interrupts the supply of telecommunications signals transmitted from the base station employing the old radio channel in the uplink direction and starts the to supply in the uplink direction telecommunications signals received from the mobile station by the base station employing the new radio channel; and

a third step where the switching function interrupts the supply of telecommunications signals, sent to the mobile station by the mobile services switching centre, in the downlink direction to the base station employing the old radio channel.

4. A method according to claim 3, **characterized** in that the first step is entered when a radio channel has been activated for the connection in question at the base station employing the new radio channel, the second step is entered when the base station employing the new radio channel detects that the mobile station has tuned to the new radio channel; and the third step is entered when the mobile station confirms that it has started to use the new radio channel.

5. A method according to claim 1, **characterized** in that the process for directing the switching function of the mobile services switching centre to perform the handover comprises the following:

a first step where the switching function starts to branch telecommunications signals to be sent in the downlink direction to the mobile station such that the signals are supplied from the switching function further to both the base station that employs the old radio channel and the base station employing the new radio channel, and to sum the telecommunications signals transmitted in the uplink direction by the base station employing the old radio channel and the base station employing the new radio channel, and to forward the summed signals; and

a second step where the switching function interrupts the supply of signals in both the uplink and downlink directions between the mobile services switching centre and the base station employing the old radio channel.

6. A mobile communications system comprising  
a mobile services switching centre (MSC);  
a mobile station (MS) having a connection to the mobile services  
switching centre (MSC) over a first telecommunications channel (CH1), and  
control means (4) for directing a handover to replace the first  
telecommunications channel (CH1) used for the connection between the  
mobile station (MS) and the mobile services switching centre (MSC) with a  
second telecommunications channel (CH2), **c h a r a c t e r i z e d** in that the  
system further comprises

comparison means (3) comparing the speech coding method and  
the data transfer rate used on the first telecommunications channel (CH1) with  
one or more speech coding methods and data transfer rates available on the  
second telecommunications channel (CH2) in order to find out whether a  
predetermined trigger condition is met, said condition being met if the speech  
coding method used on the first telecommunications channel (CH1) is not  
available on the second telecommunications channel (CH2) and/or if the data  
transfer rate of the second telecommunications channel (CH2) is different from  
the data transfer rate of the first telecommunications channel (CH1);

checking means (2) checking whether the handover is an internal  
handover of a base station controller (BSC) where the base station (BTS1)  
transmitting the first telecommunications channel (CH1) is controlled by the  
same base station controller (BSC) as the base station (BTS2) transmitting the  
second telecommunications channel (CH2); and that

the control means (4) direct the switching function (S1) of the  
mobile service switching centre (MSC) to perform the handover, provided that  
the comparison means (3) and the checking means (4) show that the  
handover is an internal handover of the base station controller (BSC) where  
the trigger condition is met.

7. A mobile communications system according to claim 6,  
**c h a r a c t e r i z e d** in that the control means (4) direct the switching  
function (S2) of the base station controller (BSC) to perform the handover,  
provided that the comparison means (3) and the checking means (4) show  
that the handover is an internal handover of the base station controller (BSC)  
where the trigger condition is not met.

8. A mobile communication system according to claim 6 or 7,  
**characterized** in that

the switching functions (S1, S2) of the base station controller (BSC)  
and the mobile services switching centre can be directed at least to

a first state where the switching function (S1, S2) branches  
telecommunications signals to be sent to the mobile station (MS) in the  
downlink direction to the base station (BTS1) transmitting the first  
telecommunications channel (CH1) and the base station (BTS2) transmitting  
the second telecommunications channel (CH2) and where the switching  
function (S1, S2) supplies only signals received from the mobile station (MS)  
by the base station (BTS1) transmitting the first telecommunications channel  
(CH1) in the uplink direction to the mobile services switching centre (MSC);

a second state where the switching function (S1, S2) branches  
telecommunications signals to be sent to the mobile station (MS) in the  
downlink direction to the base station (BTS1) transmitting the first  
telecommunications channel (CH1) and the base station (BTS2) transmitting  
the second telecommunications channel (CH2) and where the switching  
function (S1, S2) supplies only signals received from the mobile station (MS)  
by the base station (BTS2) transmitting the second telecommunications  
channel (CH2) in the uplink direction to the mobile services switching centre  
(MSC); and

a third state where the switching function (S1, S2) supplies  
telecommunications signals to be sent to the mobile station (MS) in the  
downlink direction only to the base station (BTS2) transmitting the second  
telecommunications channel (CH2) and where the switching function (S1, S2)  
supplies only signals received from the mobile station (MS) by the base station  
(BTS2) transmitting the second telecommunications channel (CH2) in the  
uplink direction to the mobile services switching centre; and

that the control means (4) direct the switching function (S1, S2) to  
perform the handover in such a way that the switching function (S1, S2) goes  
through the three states.

9. A mobile communications system according to claim 6,  
**characterized** in that

the switching function (S1) of the mobile services switching centre  
(MSC) can be directed at least to

a first state where the switching function (S1) branches telecommunications signals to be sent to the mobile station (MS) in the downlink direction so that the signals are supplied from the switching function further to both the base station (BTS1) employing the first telecommunications channel (CH1) and the base station (BTS2) employing the second telecommunications channel (CH2), and where the switching function (S1) sums in the uplink direction the signals received from the mobile station (MS) by the base station (BTS1) transmitting the first telecommunications channel (CH1) and the signals received from the mobile station (MS) by the base station (BTS2) transmitting the second telecommunications channel (CH2) and forwards the summed signals; and

a second state where the switching function (S1) supplies telecommunications signals to be sent to the mobile station (MS) in the downlink direction only to the base station (BTS2) transmitting the second telecommunications channel and where the switching function (S1) supplies only signals received from the mobile station by the base station (BTS2) transmitting the second telecommunications channel (CH2) further in the uplink direction.

10. A mobile communications system according to any one of claims 6 to 9, **characterized** in that said base station transmitting the first telecommunications channel (CH1) and said base station transmitting the second telecommunications channel (CH2) are one and the same base station.

11. A mobile communications system according to any one of claims 6 to 9, **characterized** in that said base station transmitting the first telecommunications channel (CH1) and said base station transmitting the second telecommunications channel (CH2) are different base stations (BTS1, BTS2).

12. A mobile communications system according to any one of claims 6 to 11, **characterized** in that the mobile communication system is a digital mobile communication system in which the mobile station (MS) and the mobile services switching centre (MSC) employ different speech coding methods and that the first (CH1) and the second (CH2) telecommunications



channels are provided with speech processing units (TRAU1, TRAU2) which perform the coding and decoding operations needed for supplying speech signals between the mobile station (MS) and the mobile services switching centre (MSC).

13. A base station controller comprising

control means (4) for directing a handover to replace a first telecommunications channel (CH1) used for a connection between a mobile station (MS) and a mobile services switching centre (MSC) with a second telecommunications channel (CH2), **characterized** in that the base station controller further comprises

comparison means (3) for comparing the speech coding method and the data transfer rate used by the mobile station (MS) on the first telecommunications channel (CH1) with one or more speech coding methods available on the second telecommunications channel (CH2) and with the data transfer rate available on the second telecommunications channel (CH2) in order to find out whether a predetermined trigger condition is met, said condition being met if the speech coding method used on the first telecommunications channel (CH1) is not available on the second telecommunications channel (CH2) and/or if the data transfer rate of the second telecommunications channel (CH2) is different from that used on the first telecommunications channel (CH1);

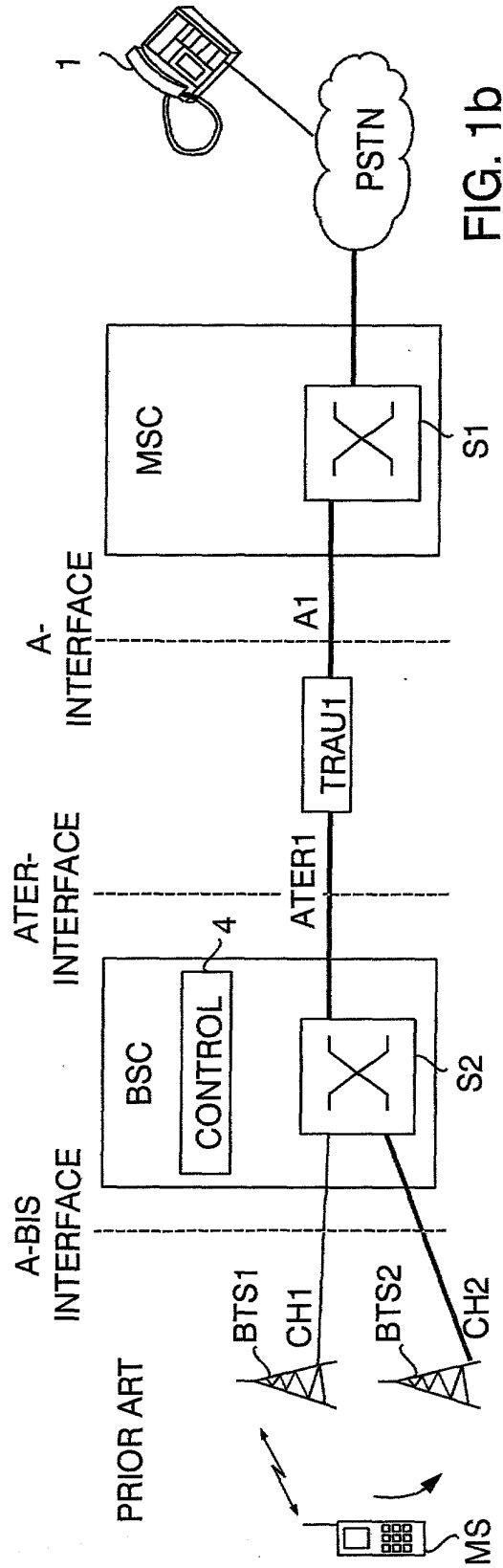
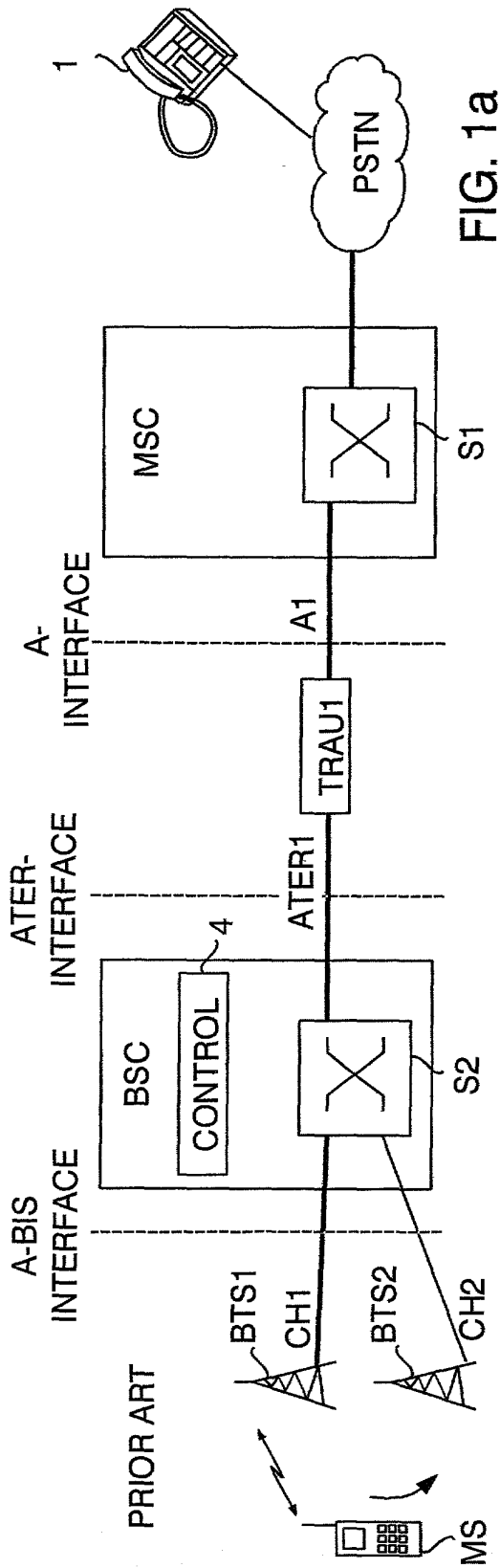
checking means (2) checking whether the handover in question is an internal handover of a base station controller (BSC) where the base station (BTS1) transmitting the first telecommunications channel (CH1) and the base station (BTS2) transmitting the second telecommunications channel (CH2) are controlled by the base station controller in question; and that

the control means (4) direct the switching function (S1) of the mobile services switching centre (MSC) to perform the handover, provided that the comparison means (3) and the checking means (2) show that the handover is an internal handover of the base station controller (BSC) and that the trigger condition is met.

#### ABSTRACT

The present invention relates to a method for performing a handover with the view of changing a telecommunications channel utilized by a connection between a mobile station (MS) and a mobile services switching centre (MSC) in a mobile communications system, the telecommunications channel comprising a radio channel connecting the mobile station (MS) and a base station (BTS1) and a channel connecting the base station (BTS1) and the mobile services switching centre (MSC). To make sure that the handover causes as little interference as possible to the ongoing connection, the allocation of a new radio channel to the connection involves checking whether a predetermined trigger condition is met, which happens if either the speech coding method or the data transfer rate changes, or if they both change at the same time, in connection with the handover, and directing the switching function (S1) of the mobile services switching centre (MSC) to perform the handover if the checks show that the handover is a BSC-internal handover where the trigger condition is met.

(Figure 2c)



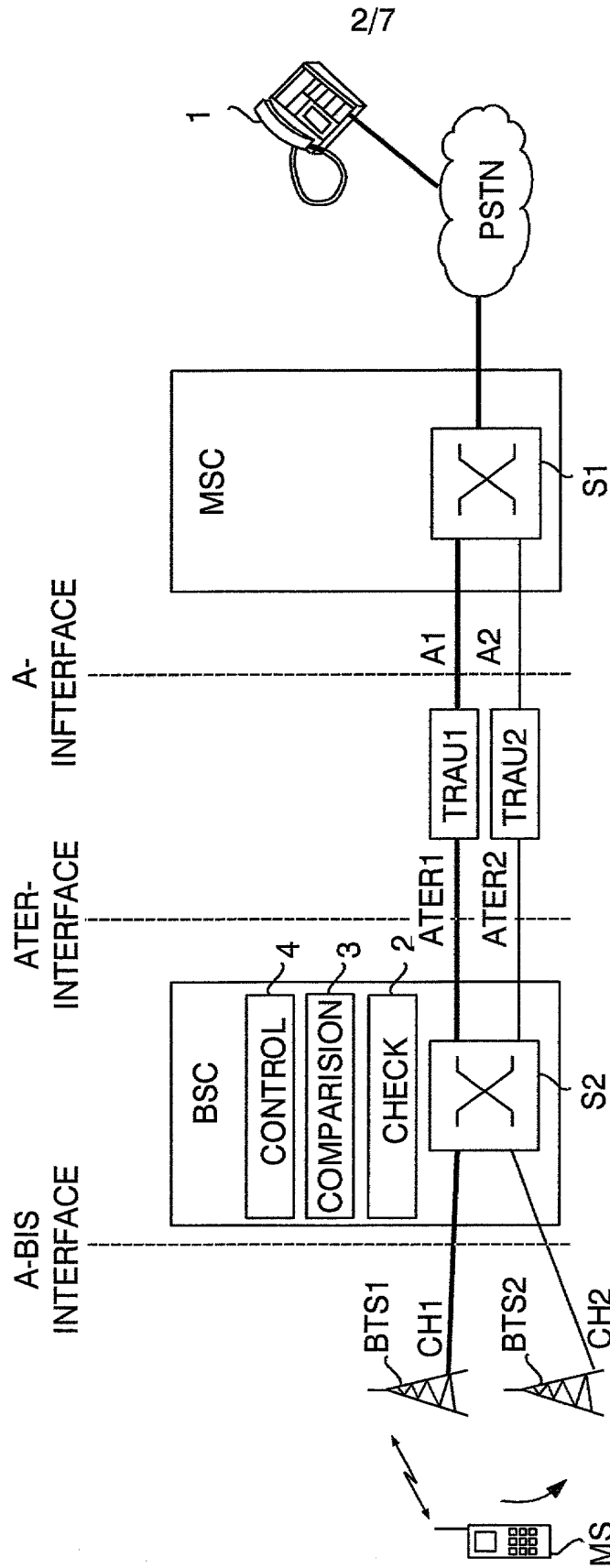


FIG. 2a

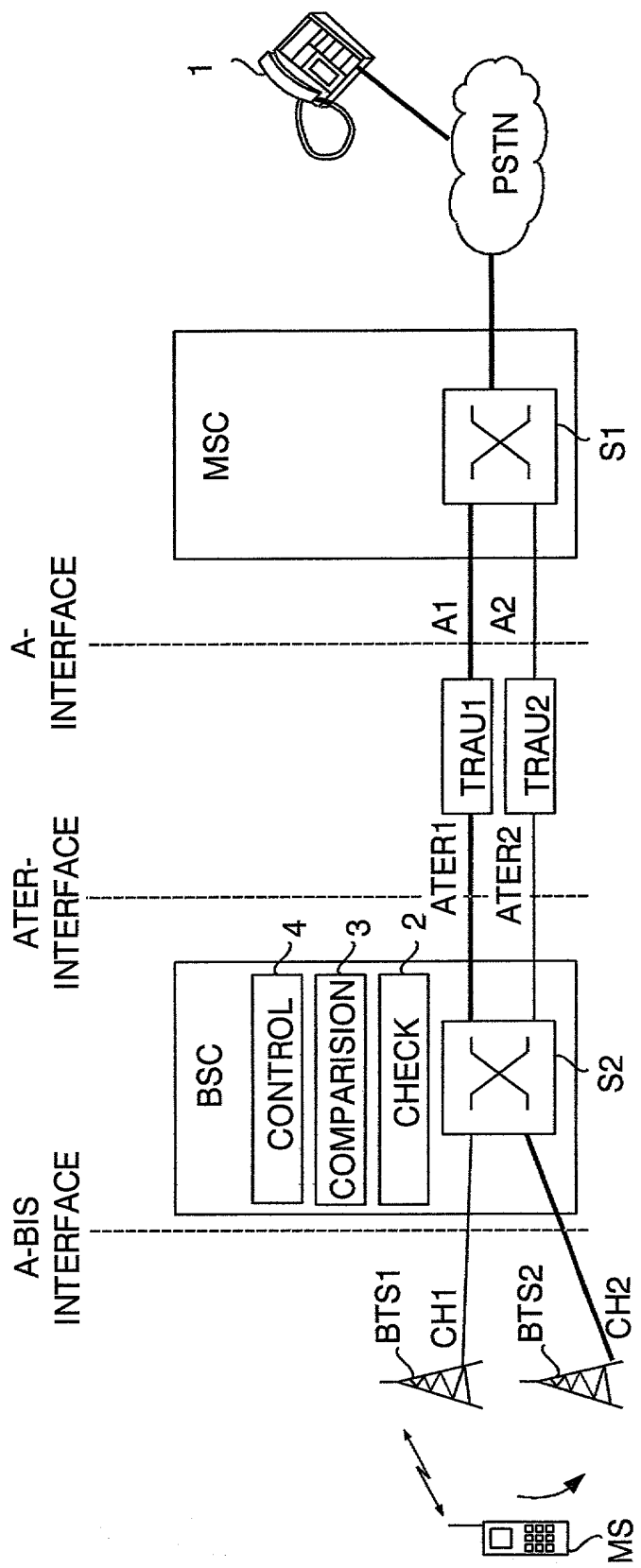


FIG. 2b

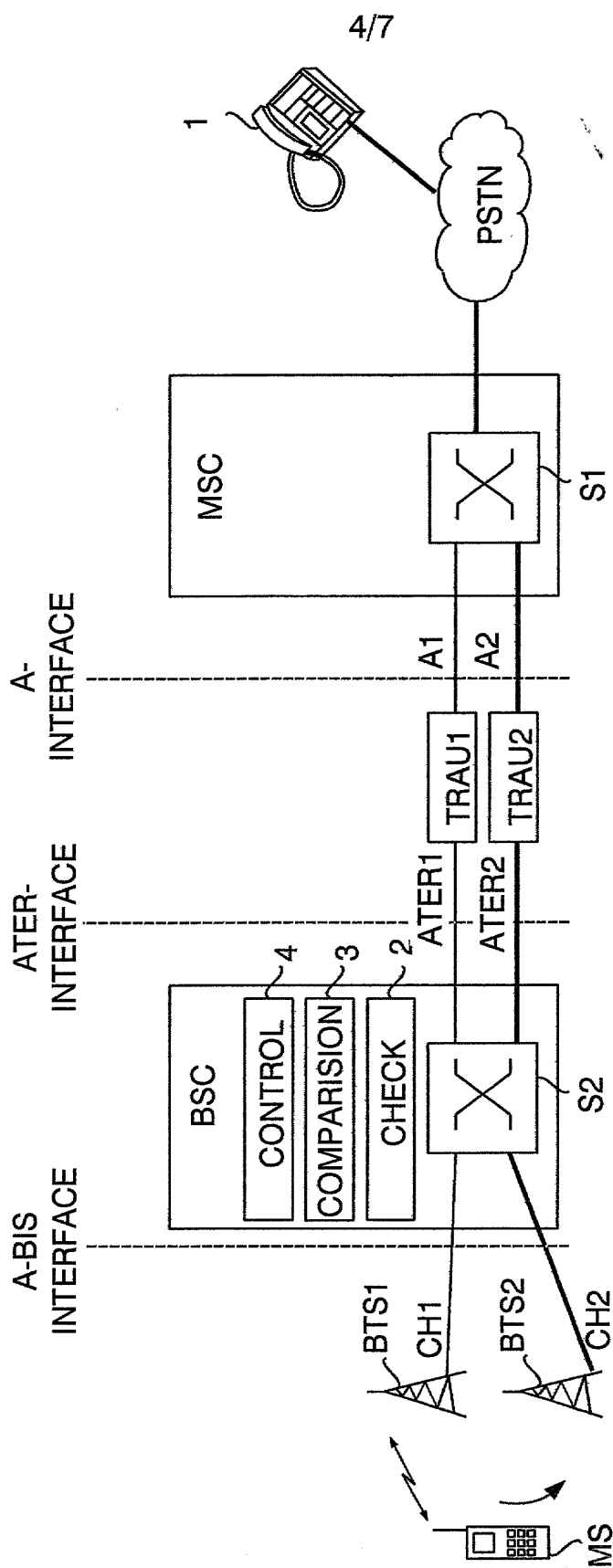


FIG. 2c

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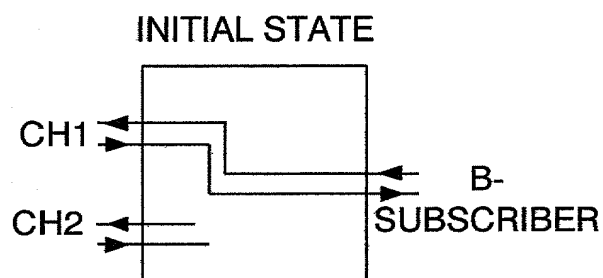


FIG. 3a

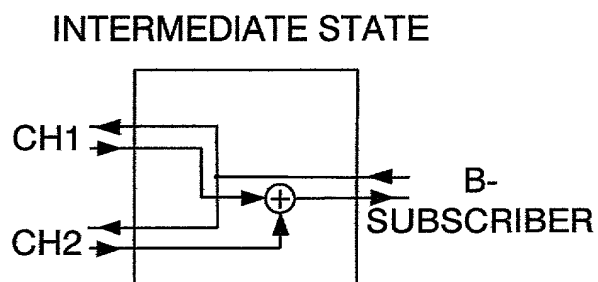


FIG. 3b

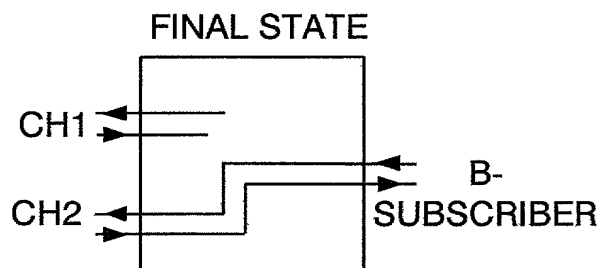


FIG. 3c

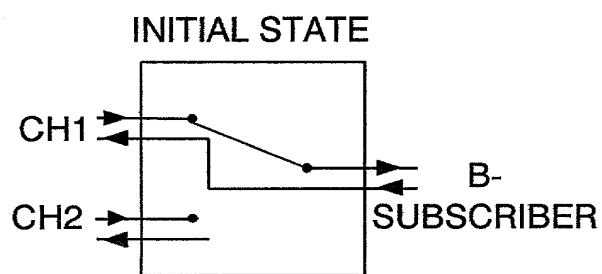


FIG. 4a

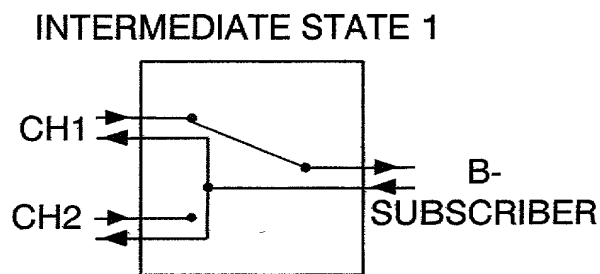


FIG. 4b

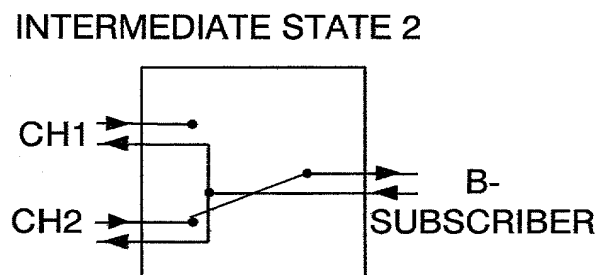


FIG. 4c

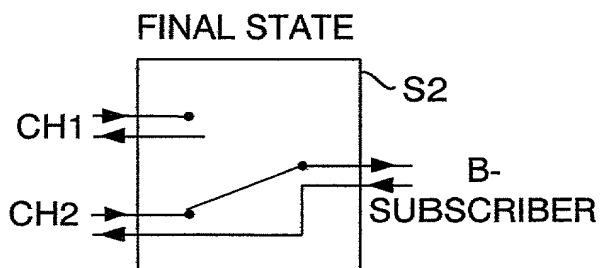


FIG. 4d

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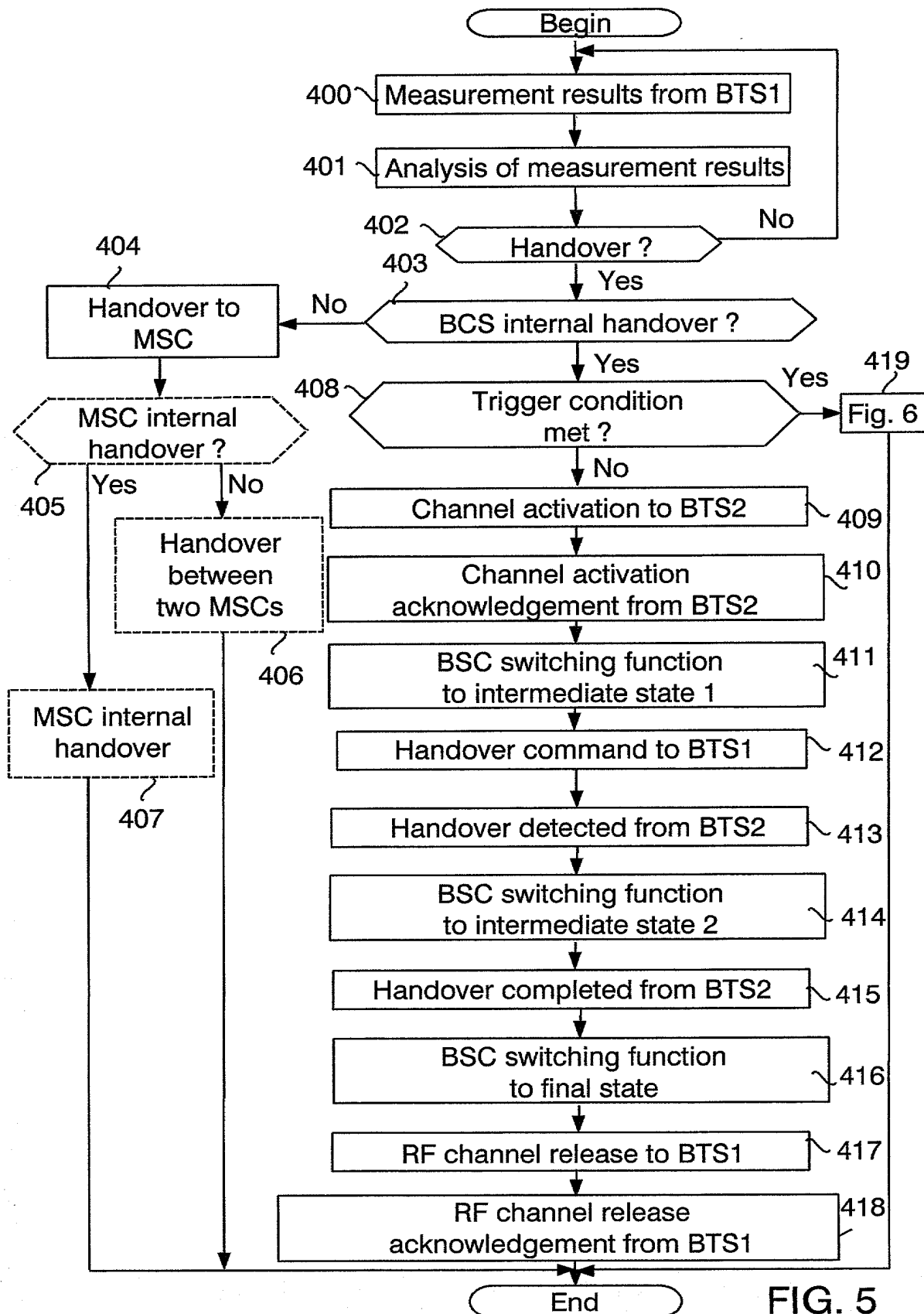


FIG. 5



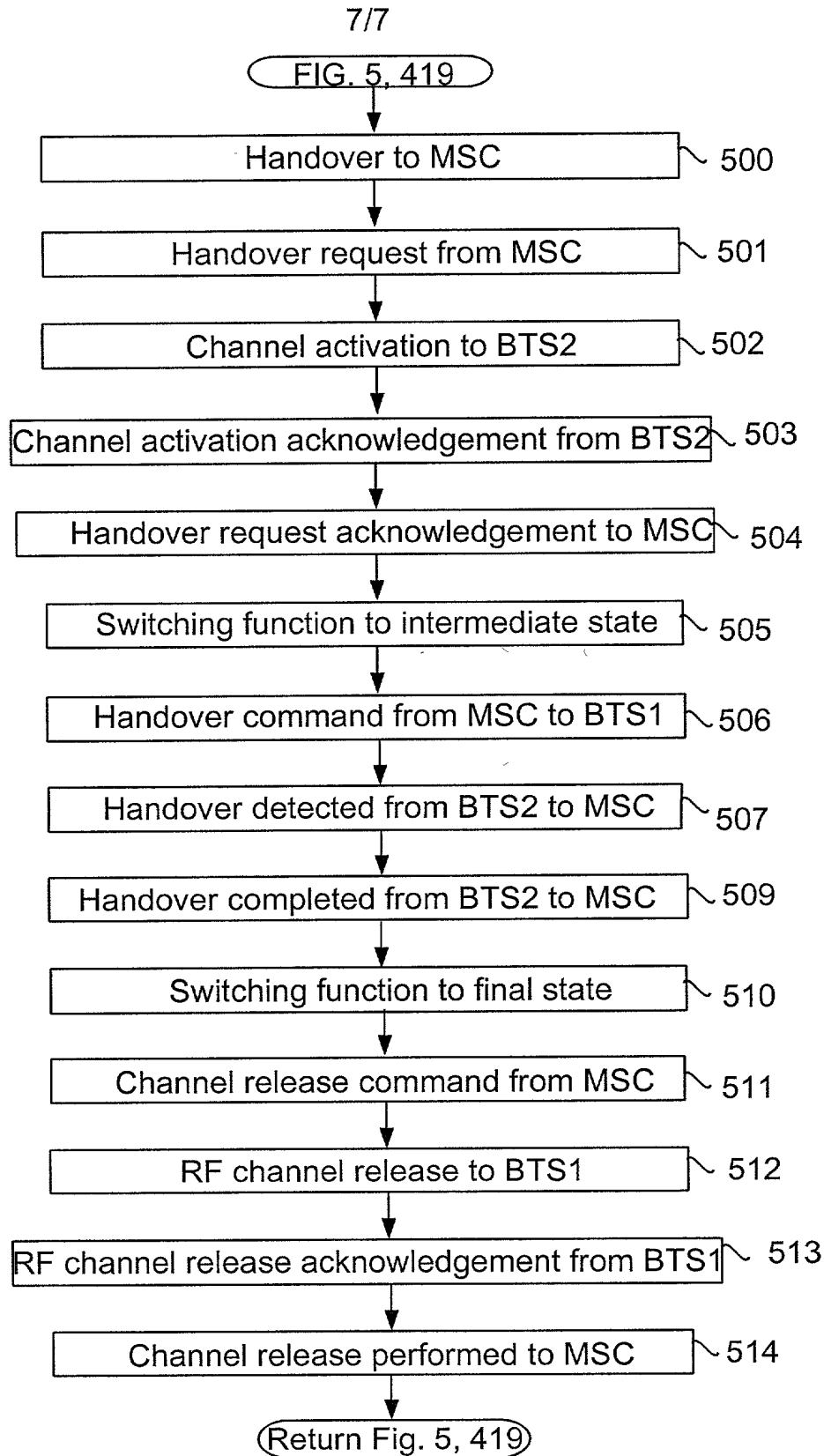


FIG. 6

FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PW  
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the **INVENTION ENTITLED HANDOVER PERFORMED WITHIN**

**A BASE STATION CONTROLLER**

the specification of which (CHECK applicable BOX(ES))

X  
BOX(ES) → A. ☐ is attached hereto.  
→ B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_ /  
→ C. ☒ was filed as PCT International Application No. PCT/ FI00 / 00827 on 27 September 2000

and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

**PRIOR FOREIGN APPLICATION(S)**

Number	Country	Day/MONTH/Year Filed	Date first Laid-open or Published	Date Patented or Granted	Priority NOT Claimed
19992090	Finland	29 September 1999			

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

**PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)**

Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status	Priority NOT Claimed
		pending, abandoned, patented	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (202) 861-3000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or an attorney of that firm in writing to the contrary.

USE ONLY FOR  
PILLSBURY WINTHROP



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Residence		
City	State/Foreign Country	Country of Citizenship
Mailing Address		
(include Zip Code)		

☐ FOR ADDITIONAL INVENTORS see attached page.

☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P

(M#)